

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. : 1209-101.US

First Inventor or Application Identifier : Cecelia Katherine DAVIS

Title : "Applicator Shield"

Express Mail Label No. : ER 164662384 US

TO: Mail Stop Patent Application, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ \*Fee transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification of 21 pages  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Total sheets: 5
5. ☒ Oath or Declaration and Power of Attorney Total pages: 2
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ Deletion of inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

03917 U.S. PTO  
10/632657  
08/01/03

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)

UTILITY PATENT APPLICATION TRANSMITTAL, continued

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*  
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*  
16. ☒ Request under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent  
17. ☒ Other: Check

18. ☐ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ continuation ☐ divisional ☐ continuation-in-part (CIP)  
of prior application No.:  
Prior application information: Examiner: Group/Art Unit:

FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number 23390

☒ Correspondence address below

Name: Colin P. Abrahams  
Address: 5850 Canoga Avenue, Suite 400  
Woodland Hills, California 91367, USA  
Tel: (818)710-2788 Fax: (818)710-2798

Name: Colin P. Abrahams

Registration No. (Attorney/Agent) 32,393

Signature:



Date: August 1, 2003

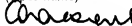
Certificate of Mailing

Express Mail Label No.: ER 164662384 US

Date of Deposit: August 1, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

Colin P. Abrahams  
(typed name)



(Signature)

davis1209-101.US07

# FEE TRANSMITTAL FORM

Total amount of payment \$393.00

Application Number : Not known  
 Filing Date : Concurrent  
 First Named Inventor : Cecelia Katherine DAVIS  
 Examiner Name : Not known  
 Group Art Unit : Not known  
 Attorney Docket No. : 1209-101.US

## METHOD OF PAYMENT

Payment Enclosed:  
 ✓ Check for \$393.00

## FEE CALCULATION

### 1. BASIC FILING FEE

|                        |          |
|------------------------|----------|
| Utility filing fee     | \$375.00 |
| Design filing fee      | \$       |
| Plant filing fee       | \$       |
| Reissue filing fee     | \$       |
| Provisional filing fee | \$       |
| Subtotal (1)           | \$375.00 |

### 2. EXTRA CLAIM FEES

|                                      |          |
|--------------------------------------|----------|
| Total: $22 - 20 = 2 \times 9 =$      | \$ 18.00 |
| Independent: $3 - 3 = 0 \times 42 =$ | \$ 0.00  |
| Multiple                             | \$       |
| Subtotal (2)                         | \$ 18.00 |

### 3. ADDITIONAL FEES

|  |    |
|--|----|
| Surcharge - late filing fee or oath                | \$ |
| Surcharge - late provisional filing fee            | \$ |
| Non-English specification                          | \$ |
| Request for ex parte reexamination                 | \$ |
| Requesting pub of SIR prior to Examiner action     | \$ |
| Requesting pub of SIR after Examiner action        | \$ |
| Extension for reply within first month             | \$ |
| Extension for reply within second month            | \$ |
| Extension for reply within third month             | \$ |
| Extension for reply within fourth month            | \$ |
| Extension for reply within fifth month             | \$ |
| Notice of Appeal                                   | \$ |
| Filing brief in support of appeal                  | \$ |
| Request for oral hearing                           | \$ |
| Petition to institute a public use proceeding      | \$ |
| Petition to revive - unavoidable                   | \$ |
| Petition to revive - unintentional                 | \$ |
| Utility issue fee (or reissue)                     | \$ |
| Design issue fee                                   | \$ |
| Plant issue fee                                    | \$ |
| Petitions to the Commissioner                      | \$ |
| Petitions related to provisional applications      | \$ |
| Submission of Information Disclosure Statement     | \$ |
| Recording each patent assignment per property      | \$ |
| Submission after final rejection (37 CFR 1.129(a)) | \$ |
| Add'l invention examined (37 CFR 1.129(b))         | \$ |
| Request for Continued Examination                  | \$ |
| Request for expedited exam - design application    | \$ |
| Other fee (specify)                                | \$ |

Subtotal (3) \$

Submitted by

Name: Colin P. Abrahams

Registration No. 32,393 (Attorney)

Telephone: 818 710-2788

Signature: 

Date: August 1, 2003

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

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First named Inventor: Cecelia Katherine DAVIS  
Title: Applicator Shield  
Attorney Docket No.: 1209-101.US

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I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date: August 1, 2003

Signature:



Colin P. Abrahams, Attorney for the Applicant  
Reg. No. 32,393

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The request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind the nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in the abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**